

Introductory sub-table (I)(a)

Name of the Company: KOTHARI PHYTOCHEMICALS & INDUSTRIES LTD.			
Scrip Code, Name of the scrip, class of security: - , Equity			
Quarter ended: 30/09/2013			
Partly paid-up shares:-			
	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	0		0.0000
Held by public	0		0.0000
Total	0		0.0000
Outstanding convertible securities:-			
	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	0		0.0000
Held by public	0		0.0000
Total	0		0.0000
Warrants:-			
	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group	0		0.0000
Held by public	0		0.0000
Total	0		0.0000
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities			
3883175 Shares of Rs.10/- each			

Name of the Company: KOTHARI PHYTOCHEMICALS & INDUSTRIES LTD.

Scrip Code, Name of the scrip, class of security: - , Equity

Quarter ended: 30/09/2013

Statement Showing Shareholding Pattern

Category code	Category of Shareholder	Number of Shareholders	Table (I)(a)		Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
			Total number of shares	Number of shares held in dematerialized form	As a percentage of (A+B) ¹	As a percentage of (A+B+C)	Number of shares	As a percentage
			(IV)	(V)	(VI)	(VII)	(VIII)	(IX)= (VIII)/(IV)*100
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)= (VIII)/(IV)*100
(A)	Shareholding of Promoter and							
1	Indian							
(a)	Individuals/ Hindu Undivided Family	5	74340	38340	1.9144	1.9144		0.0000
(b)	Central Government/ State Government(s)	0	0	0	0.0000	0.0000		
(c)	Bodies Corporate	9	2773605	2765605	71.4262	71.4262		0.0000
(d)	Financial Institutions/ Banks	0	0	0	0.0000	0.0000		
(e)	Any Others(Specify)	0	0	0	0.0000	0.0000		
(e-i)					0.0000	0.0000		
(e-ii)					0.0000	0.0000		
	Sub Total(A)(1)	14	2847945	2803945	73.3406	73.3406	0	0.0000
2	Foreign							
a	Individuals (Non-Residents Individuals/ Foreign Individuals)	0	0	0	0.0000	0.0000		
b	Bodies Corporate	0	0	0	0.0000	0.0000		
c	Institutions	0	0	0	0.0000	0.0000		
d	Qualified Foreign Investor	0	0	0	0.0000	0.0000		
e	Any Others(Specify)	0	0	0	0.0000	0.0000		
e-i					0.0000	0.0000		
e-ii								
	Sub Total(A)(2)	0	0	0	0.0000	0.0000	0	
	Total Shareholding of Promoter and	14	2847945	2803945	73.3406	73.3406	0	0.0000
(B)	Public shareholding							
(1)	Institutions							
(a)	Mutual Funds/ UTI	0	0	0	0.0000	0.0000		
(b)	Financial Institutions / Banks	1	3200	0	0.0824	0.0824		
(c)	Central Government/ State Government(s)	0	0	0	0.0000	0.0000		
(d)	Venture Capital Funds	0	0	0	0.0000	0.0000		
(e)	Insurance Companies	0	0	0	0.0000	0.0000		
(f)	Foreign Institutional Investors	0	0	0	0.0000	0.0000		
(g)	Foreign Venture Capital Investors	0	0	0	0.0000	0.0000		
(h)	Qualified Foreign Investor	0	0	0	0.0000	0.0000		

(i)	Any Other (specify)	0	0	0	0.0000	0.0000		
(i-ii)					0.0000	0.0000		
(i-ii)								
	Sub-Total (B)(1)	1	3200	0	0.0824	0.0824		
(B) (2)	Non-institutions							
(a)	Bodies Corporate	29	838369	838000	21.5898	21.5898		
(b)	Individuals				0.0000	0.0000		
I	Individual shareholders holding nominal share capital up to Rs 1 lakh	2762	27577	11246	0.7102	0.7102		
II	Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	1	162000	162000	4.1718	4.1718		
(c)	Qualified Foreign Investor	0	0	0	0.0000	0.0000		
(d)	Any Other (specify)				0.0000	0.0000		
(d-i)	Non Resident Individual	3	4084	4	0.1052	0.1052		
(d-ii)					0.0000	0.0000		
	Sub-Total (B)(2)	2795	1032030	1011250	26.5770	26.5770		
(B)	Total Public Shareholding (B)= (B)(1)+(B)(2)	2796	1035230	1011250	26.6594	26.6594		
	TOTAL (A)+(B)	2810	3883175	3815195	100.0000	100.0000		
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
1	Promoter and Promoter Group	0	0	0		0.0000		
2	Public	0	0	0		0.0000		
	Sub-Total (C)	0	0	0		0.0000	0	
	GRAND TOTAL (A)+(B)+(C)	2810	3883175	3815195		100.0000	0	0.0000

KOTHARI PHYTOCHEMICALS & INDUSTRIES LTD.

Quarter ended: 30/09/2013

(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category “Promoter and Promoter Group”

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	UMA BINANI	36000	0.9271	0	0.0000	0.0000	0		0		0.9271
2	ARUN KUMAR KOTHARI	19040	0.4903	0	0.0000	0.0000	0		0		0.4903
3	ANAND VARDHAN KOTHARI	13300	0.3425	0	0.0000	0.0000	0		0		0.3425
4	PRABHAWATI DEVI KOTHARI	4000	0.1030	0	0.0000	0.0000	0		0		0.103
5	ARUN KUMAR KOTHARI	2000	0.0515	0	0.0000	0.0000	0		0		0.0515
6	COMMERCIAL HOUSE PRIVATE LTD.	835414	21.5137	0	0.0000	0.0000	0		0		21.5137
7	KOTHARI & COMPANY PRIVATE LIMITED	594960	15.3215	0	0.0000	0.0000	0		0		15.3215
8	M.D. KOTHARI & COMPANY LTD.	576525	14.8467	0	0.0000	0.0000	0		0		14.8467
9	KOTHARI INVESTMENT & INDUSTRIES PVT LTD	510720	13.1521	0	0.0000	0.0000	0		0		13.1521
10	VISHNUHARI INVESTMENTS & PROPERTIES LTD	241568	6.2209	0	0.0000	0.0000	0		0		6.2209
11	PREMIER SUPPLIERS PVT LTD	8000	0.2060	0	0.0000	0.0000	0		0		0.206
12	BHAKTWATSAL INVESTMENTS LIMITED	4459	0.1148	0	0.0000	0.0000	0		0		0.1148
13	SATYAM FINANCIAL SERVICES LTD.	1000	0.0258	0	0.0000	0.0000	0		0		0.0258
14	KOTHARI CAPITAL AND SECURITIES PVT LTD	959	0.0247	0	0.0000	0.0000	0		0		0.0247
TOTAL		2847945	73.3406	0	0.0000	0.0000	0		0		73.3406

(*) The term “encumbrance” has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

KOTHARI PHYTOCHEMICALS & INDUSTRIES LTD.

Quarter ended: 30/09/2013

(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category “Public” and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1			0.0000					
2			0.0000					
3			0.0000					
4			0.0000					
5			0.0000					
6			0.0000					
7			0.0000					
8			0.0000					
9			0.0000					
10			0.0000					
TOTAL		0	0.0000	0		0		0

KOTHARI PHYTOCHEMICALS & INDUSTRIES LTD.

Quarter ended: 30/09/2013

(I)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Promoter/Promoter Group/ Public
1			0.0000	
2			0.0000	
3			0.0000	
4			0.0000	
5			0.0000	
6			0.0000	
7			0.0000	
8			0.0000	
9			0.0000	
TOTAL		0	0.0000	

KOTHARI PHYTOCHEMICALS & INDUSTRIES LTD.

Quarter ended: 30/09/2013

(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1				0.0000
2				0.0000
3				0.0000
4				0.0000
5				0.0000
6				0.0000
7				0.0000
8				0.0000
9				0.0000
TOTAL		0	0	0.0000

KOTHARI PHYTOCHEMICALS & INDUSTRIES LTD.

Quarter ended: 30/09/2013

(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1				0.0000
2				0.0000
3				0.0000
4				0.0000
5				0.0000
6				0.0000
7				0.0000
8				0.0000
9				0.0000
TOTAL			0	0.0000

KOTHARI PHYTOCHEMICALS & INDUSTRIES LTD.

Quarter ended: 30/09/2013

PHYSICAL / NSDL / CDSL Summary Report

	Holders	Shares	%age
Physical	2643	67980	1.7506
NSDL	123	3298590	84.9457
CDSL	44	516605	13.3037
Total	2810	3883175	100.0000

KOTHARI PHYTOCHEMICALS & INDUSTRIES LTD.

Quarter ended: 30/09/2013

Annexure I to Clause 41

18. PUBLIC SHAREHOLDING	
- NO.OF SHARES	1035230
%AGE OF SHAREHOLDING	26.6594
19. PROMOTERS AND PROMOTER GROUP SHAREHOLDING	
a) PLEDGED / ENCUMBERED	
- NO.OF SHARES	Nil
%AGE OF SHARES	Nil
(as a % of the total shareholders of promoter and promoter group)	
%AGE OF SHARES	Nil
(as a % of the total share capital of the company)	
b) NON ENCUMBERED	
- NO.OF SHARES	2847945
%AGE OF SHAREHOLDING	100
(as a % of the total shareholders of promoter and promoter group)	
%AGE OF SHARES	73.3406
(as a % of the total share capital of the company)	