

Format of holding of specified securities

1. Name of Entity: KOTHARI PHYTOCHEMICALS & INDUSTRIES LTD. (CIN:U15491WB1897PLC001365)
2. Scrip Code - Name of Scrip :- Class of Security Equity
3. Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)
 - a. If under 31 (1)(b) then indicate the report for Quarter ending: 30/06/2019
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. Declaration : The entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Entity has issued any partly paid up shares?		No
2 Whether the Entity has issued any Convertible Securities or Warrants?		No
3 Whether the Entity has any shares against which depository receipts are issued?		No
4 Whether the Entity has any shares in Locked-in?		No
5 Whether any shares held by promoters are pledged or otherwise encumbered?		No

* If the Entity selects the option 'No' for the questions above, the column for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-



KOTHARI PHYTOCHEMICALS & INDUSTRIES LTD.

Table I - Summary Statement holding of specified securities as on 30/06/2019

Category (I)	Category of shareholder (II)	Nos. of shareholdrs (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV) + (V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII) + (X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								Class eg: x	Class eg: y	Total			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
(A)	Promoter & Promoter group	15	3859962	0	0	3859962	99.4022	3859962	0	3859962	99.4022	0	0	0.0000	0	0.0000	3859962
(B)	Public	1335	23213	0	0	23213	0.5978	23213	0	23213	0.5978	0	0	0.0000	0	0.0000	11057
(C)	Non Promoter - Non Public															NA	
(C1)	Shares underlying DRs						NA									NA	
(C2)	Shares held by Employee Trusts															NA	
	Total	1350	3883175	0	0	3883175	100.0000	3883175	0	3883175	100.0000	0	0	100.0000	0	0.0000	3871019



KOTHARI PHYTOCHEMICALS & INDUSTRIES LTD.

Table II - Statement Showing shareholding pattern of the Promoter and Promoter Group as on 30/06/2019

KOTHARI PHYTOCHEMICALS & INDUSTRIES LTD.																	
Table II - Statement Showing shareholding pattern of the Promoter and Promoter Group as on 30/06/2019																	
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	Number of Voting Rights held in each class of securities (X)			(10)	(11)	(12)	(13)	(14)	(15)	(16)
								No of Voting Rights									
								Class x	Class y	Total							
Category & Name of the Shareholders (I)																	
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)	(17)	(18)
(a)	Indian Individuals/Hindu undivided Family		4	40861	0	0	40861	0	40861	1.0523	0	1.0523	0	0.0000	0	0.0000	40861
	ARUN KUMAR KOTHARI	AAFHA2890H	1	19040	0	0	19040	0	19040	0.4903	0	0.4903	0	0.0000	0	0.0000	19040
	ANAND VARDHAN KOTHARI	ARZPK7312D	1	13300	0	0	13300	0	13300	0.3425	0	0.3425	0	0.0000	0	0.0000	13300
	PRABHAWATI DEVI KOTHARI	AFLPK3786R	1	6500	0	0	6500	0	6500	0.1674	0	0.1674	0	0.0000	0	0.0000	6500
	ARUN KUMAR KOTHARI	AFLPK3831P	1	2021	0	0	2021	0	2021	0.0520	0	0.0520	0	0.0000	0	0.0000	2021
(b)	Central Government/State Government(s)																
(c)	Financial Institutions/Banks																
(d)	Any Other (specify)																
	Bodies Corporate		11	3819101	0	0	3819101	0	3819101	98.3500	0	98.3500	0	0.0000	0	0.0000	3819101
	COMMERCIAL HOUSE PRIVATE LTD.	AABCC2315G	1	755414	0	0	755414	0	755414	19.4535	0	19.4535	0	0.0000	0	0.0000	755414
	KOTHARI INVESTMENT & INDUSTRIES PRIVATE LIMITED	AACCK1560A	1	750720	0	0	750720	0	750720	19.3326	0	19.3326	0	0.0000	0	0.0000	750720
	KOTHARI & COMPANY PRIVATE LIMITED	AABCK1246Q	1	594960	0	0	594960	0	594960	15.3215	0	15.3215	0	0.0000	0	0.0000	594960
	M.D. KOTHARI & COMPANY LTD.	AADCM5835F	1	576525	0	0	576525	0	576525	14.8467	0	14.8467	0	0.0000	0	0.0000	576525
	VISHNUHARI INVESTMENTS & PROPERTIES LTD.	AABCV0010D	1	491568	0	0	491568	0	491568	12.6589	0	12.6589	0	0.0000	0	0.0000	491568
	G. DAS & COMPANY PRIVATE LIMITED	AABCG2416L	1	215496	0	0	215496	0	215496	5.5495	0	5.5495	0	0.0000	0	0.0000	215496
	BHAKTATSAL INVESTMENTS LIMITED	AABCB0380Q	1	214459	0	0	214459	0	214459	5.5228	0	5.5228	0	0.0000	0	0.0000	214459
	ALBERT DAVID LTD	AACCA3933D	1	190000	0	0	190000	0	190000	4.8929	0	4.8929	0	0.0000	0	0.0000	190000
	KOTHARI CAPITAL AND SECURITIES PRIVATE LIMITED	AABCK1079M	1	20959	0	0	20959	0	20959	0.5397	0	0.5397	0	0.0000	0	0.0000	20959
	PREMIER SUPPLIERS PRIVATE LIMITED	AACCP9823B	1	8000	0	0	8000	0	8000	0.2060	0	0.2060	0	0.0000	0	0.0000	8000
	SATYAM FINANCIAL SERVICES LTD.	AALCS3758B	1	1000	0	0	1000	0	1000	0.0258	0	0.0258	0	0.0000	0	0.0000	1000
	Sub Total		15	3859962	0	0	3859962	0	3859962	99.4022	0	99.4022	0	0.0000	0	0.0000	3859962
(2)	Foreign Individuals (Non-Resident Individuals/Foreign Individuals)																
(a)	Individuals/Foreign Individuals)																
(b)	Government																
(c)	Institutions																
(d)	Foreign Portfolio Investor																
(e)	Any Other (specify)																
	Sub Total		0	0	0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total Shareholding of Promoter and Promoter Group (A)=(A1)+(A2)		15	3859962	0	0	3859962	0	3859962	99.4022	0	99.4022	0	0.0000	0	0.0000	3859962





KOTHARI PHYTOCHEMICALS & INDUSTRIES LTD.																
Table III - Statement Showing shareholding pattern of the Public shareholder as on 30/06/2019																
Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)	
								No of Voting Rights					No of shares outstanding securities (including Warrants) (X)	As a % of total shares held (b)		No. (Not applicable) (a)
								Class x	Class y	Total	Total as a % of Total Voting rights					
Sub Total		1335	23213	0	0	23213	0.5978	23213	0	23213	0.5978	0	0.0000	NA	11057	
Total Public Shareholding (B)=(A)+(B)+(B)+(3)		1335	23213	0	0	23213	0.5978	23213	0	23213	0.5978	0	0.0000	NA	11057	

KOTHARI PHYTOCHEMICALS & INDUSTRIES LTD.															
Table IV - Statement Showing shareholding pattern of the Non Promoter - Non Public shareholder as on 30/06/2019															
Category & Name of the Shareholders (I)	PAN (II)	No of sharehold ers (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Sharehold ing % calculate d as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)	Number of shares pledged or encumbered otherwise (XIII)	Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights							
								Class x	Class y	Total					
(1)															
(2)															
Total Non Promoter - Non Public Shareholding (C)=(I)+(C)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	0



KOTHARI PHYTOCHEMICALS & INDUSTRIES LTD.

Annexure

Table V - Statement showing details of significant beneficial owners as on 30/06/2019

Details of the significant beneficial owner (I)			Details of the registered owner (II)			Particulars of the shares in which significant beneficial interest is held by the beneficial owner (III)		(IV)	
Sr No	Name	PAN	Nationality	Name	PAN	Nationality	No of shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Date of creation / acquisition of significant beneficial interest
1				COMMERCIAL HOUSE PRIVATE LTD.	AABCC2315G		755414	19.4535	
2				KOTHARI INVESTMENT & INDUSTRIES PRIVATE LIMITED	AACCK1560A		750720	19.3326	
3				KOTHARI & COMPANY PRIVATE LIMITED	AABCK1246Q		594960	15.3215	
4				M.D. KOTHARI & COMPANY LTD. VISHNUHARI	AADCM5835F		576525	14.8467	
5				INVESTMENTS & PROPERTIES LTD	AABCV0010D		491568	12.6589	
Total							3169187	81.6133	



KOTHARI PHYTOCHEMICALS & INDUSTRIES LTD.				
Distribution Schedule as on 30/06/2019				
Share Holding	No of Holders	% age	No of Shares	% age
Upto 50	1301	96.3704	4397	0.1132
51 to 100	19	1.4074	1661	0.0428
101 to 150	5	0.3704	606	0.0156
151 to 250	2	0.1481	320	0.0082
251 to 500	3	0.2222	1200	0.0309
501 to 5000	6	0.4444	9736	0.2507
Above 5000	14	1.0370	3865255	99.5385
Grand Total	1350	100.0000	3883175	100.0000
Distribution Schedule as on 30/06/2019				
Share Holding	No of Holders	% age	No of Shares	% age
Upto 500	1330	98.5185	8184	0.2108
501 to 1000	3	0.2222	2595	0.0668
1001 to 2000	1	0.0741	1600	0.0412
2001 to 3000	1	0.0741	2021	0.0520
3001 to 4000	1	0.0741	3520	0.0906
5001 to 10000	3	0.2222	22814	0.5875
Above 10000	11	0.8148	3842441	98.9510
Grand Total	1350	100.0000	3883175	100.0000
Distribution Schedule as on 30/06/2019				
Notional Value of (Rs.)	No of Holders	% age	Amount (Rs.)	% age
Upto 5000	1330	98.5185	81840	0.2108
5001 to 10000	3	0.2222	25950	0.0668
10001 to 20000	1	0.0741	16000	0.0412
20001 to 30000	1	0.0741	20210	0.0520
30001 to 40000	1	0.0741	35200	0.0906
50001 to 100000	3	0.2222	228140	0.5875
Above 100000	11	0.8148	38424410	98.9510
Grand Total	1350	100.0000	38831750	100.0000



KOTHARI PHYTOCHEMICALS & INDUSTRIES LTD.				
Shareholding Summary as on 30/06/2019				
As per Folio/Client Id			As per PAN	
Form	No of Records	No of Shares	No of Records	No of Shares
PHYSICAL	1179	12156	1174	12156
NSDL	148	3870792	143	3870792
CDSL	44	227	39	227
TOTAL	1371	3883175	1356	3883175

