

Format of holding of specified securities

1. Name of Listed Entity: KOTHARI PHYTOCHEMICALS & INDUSTRIES LTD. (CIN:U15491WB1897PLC001365)

2. Scrip Code - Name of Scrip :- Class of Security Equity

3. Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)

a. If under 31 (1)(b) then indicate the report for Quarter ending: 30/09/2019

b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. Declaration : The entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Entity has issued any partly paid up shares?		No
2 Whether the Entity has issued any Convertible Securities or Warrants?		No
3 Whether the Entity has any shares against which depository receipts are issued?		No
4 Whether the Entity has any shares in Locked-in?		No
5 Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Entity selects the option 'No' for the questions above, the columnus for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-



Table I - Summary Statement holding of specified securities as on 30/09/2019

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV) + (V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII) + (X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								Class eg x	Class eg y	Total			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
(A)	Promoter & Promoter group	15	3860757	0	0	3860757	99.4227	3860757	0	3860757	99.4227	0	0	0.0000	0	0.0000	3860757
(B)	Public	1334	22418	0	0	22418	0.5773	22418	0	22418	0.5773	0	0	0.0000	NA	NA	10272
(C)	Non Promoter - Non Public														NA	NA	
(C1)	Shares underlying DRs						NA								NA	NA	
(C2)	Shares held by Employee Trusts														NA	NA	
	Total	1349	3883175	0	0	3883175	100.0000	3883175	0	3883175	100.0000	0	0				3871029

KOTHARI PHYTOCHEMICALS & INDUSTRIES LTD.																
Table II - Statement Showing shareholding pattern of the Promoter and Promoter Group as on 30/09/2019																
(1)	Category & Name of the Shareholders (I)	PAN (II)	Nos. of sharehold-ers (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos shares held (VII)=(IV)+(V)+(VI)	Sharehold-ing % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of securities (as a percentage of diluted share capital) (XI)=(VII)-(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)	Number of equity shares held in demateriali-zed form (XIV)
									Class x	Class y	Total		No. (a)	As a % of total shares held (b)		
(a)	Indian Individuals/Hindu undivided Family		4	40861		0	0	1.0523	40861	0	40861	1.0523	0	0.0000	0	40861
	ARUN KUMAR KOTHARI		1	19040		0	19040	0.4903	19040	0	19040	0.4903	0	0.0000	0	19040
	ANAND VARDHAN KOTHARI		1	13300		0	13300	0.3425	13300	0	13300	0.3425	0	0.0000	0	13300
	PRABHAWATI DEVI KOTHARI		1	6500		0	6500	0.1674	6500	0	6500	0.1674	0	0.0000	0	6500
	ARUN KUMAR KOTHARI		1	2021		0	2021	0.0520	2021	0	2021	0.0520	0	0.0000	0	2021
(b)	Central Government/State Government(s)															
(c)	Financial Institutions/Banks															
(d)	Any Other (specify)															
	Bodies Corporate		11	3819896		0	3819896	98.3704	3819896	0	3819896	98.3704	0	0.0000	0	3819896
	COMMERCIAL HOUSE PRIVATE LTD.	AABCC2315G	1	755414		0	755414	19.4535	755414	0	755414	19.4535	0	0.0000	0	755414
	KOTHARI INVESTMENT & INDUSTRIES PRIVATE LIMITED	AACCK1560A	1	750720		0	750720	19.3326	750720	0	750720	19.3326	0	0.0000	0	750720
	KOTHARI & COMPANY PRIVATE LIMITED	AABCK1246Q	1	594960		0	594960	15.3215	594960	0	594960	15.3215	0	0.0000	0	594960
	M.D. KOTHARI & COMPANY LTD.	AADCM5835F	1	576525		0	576525	14.8467	576525	0	576525	14.8467	0	0.0000	0	576525
	VISHNUHARI INVESTMENTS & PROPERTIES LTD	AABCV0010D	1	491568		0	491568	12.6589	491568	0	491568	12.6589	0	0.0000	0	491568
	G. DAS & COMPANY PRIVATE LIMITED	AABCG2416L	1	216291		0	216291	5.5700	216291	0	216291	5.5700	0	0.0000	0	216291
	BHAKTAWATSAL INVESTMENTS LIMITED	AABCB0380Q	1	214459		0	214459	5.5228	214459	0	214459	5.5228	0	0.0000	0	214459
	ALBERT DAVID LTD	AACCA3933D	1	190000		0	190000	4.8929	190000	0	190000	4.8929	0	0.0000	0	190000
	KOTHARI CAPITAL AND SECURITIES PRIVATE LIMITED	AABCK1079M	1	20959		0	20959	0.5397	20959	0	20959	0.5397	0	0.0000	0	20959
	PREMIER SUPPLIERS PRIVATE LIMITED	AACCP9823B	1	8000		0	8000	0.2060	8000	0	8000	0.2060	0	0.0000	0	8000
	SATYAM FINANCIAL SERVICES LTD.	AALCS3758B	1	1000		0	1000	0.0258	1000	0	1000	0.0258	0	0.0000	0	1000
	Sub Total		15	3860757		0	3860757	99.4227	3860757	0	3860757	99.4227	0	0.0000	0	3860757
(2)	Foreign Individuals (Non-Resident Individuals/Foreign Individuals)															
(a)	Government															
(b)	Institutions															
(c)	Foreign Portfolio Investor															
(d)	Any Other (specify)															
(e)	Sub Total		0	0		0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0
	Total Shareholding of Promoter and Promoter Group (A)=(A1)+(A2)		15	3860757		0	3860757	99.4227	3860757	0	3860757	99.4227	0	0.0000	0	3860757

KOTHARI PHYTOCHEMICALS & INDUSTRIES LTD.																	
Table III - Statement Showing shareholding pattern of the Public shareholder as on 30/09/2019																	
Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights				No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held in dematerialized form (b) (XIV)		
								Class x	Class y	Total							
(1)																	
(a)	Institutions																
(b)	Mutual Funds / UTI																
(c)	Venture Capital Funds																
(d)	Alternate Investment Funds																
(e)	Foreign Venture Capital Investors																
(f)	Foreign Portfolio Investors																
(g)	Financial Institutions / Banks																
(h)	Insurance Companies																
(i)	Provident Funds / Pension Funds																
(j)	Any Other (specify)																
	Sub Total	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(2)	Central Government / State Government(s) / President of India																
(3)	Non-Institutions																
(a)	Individuals-																
	i. Individual shareholders holding nominal share capital upto Rs.2 lakhs	1320	10338	0	0	10338	0.2662	10338	0	10338	0.2662	0	0.2662	0	0.0000	NA	1954
	ii. Individual shareholders holding nominal share capital in excess of Rs.2 lakhs																
(b)	NBFCs registered with RBI																
(c)	Employee Trusts																
(d)	Overseas Depositories (holding DRs) (balancing figure)																
(e)	Any Other (specify)																
	Investor Education and Protection Fund Authority	1	8314	0	0	8314	0.2141	8314	0	8314	0.2141	0	0.2141	0	0.0000	NA	8314
	Bodies Corporate	11	242	0	0	242	0.0062	242	0	242	0.0062	0	0.0062	0	0.0000	NA	0
	Non Resident Individual	2	3524	0	0	3524	0.0908	3524	0	3524	0.0908	0	0.0908	0	0.0000	NA	4

KOTHARI PHYTOCHEMICALS & INDUSTRIES LTD.																			
Table III - Statement Showing shareholding pattern of the Public shareholder as on 30/09/2019																			
Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)					Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	No. of shares Underlying outstanding convertible securities (including Warrants) (X)	Number of Locked in shares (XII)	Number of shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)		
								No of Voting Rights			Total as a % of Total Voting rights	No. (a)						As a % of total shares held (b)	No. of shares held in (Not applicable) (Not applicable) (XIV)
								Class x	Class y	Total									
Sub Total		1334	22418	0	0	22418	0.5773	22418	0	22418	0.5773	0	0.0000	NA		10272			
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		1334	22418	0	0	22418	0.5773	22418	0	22418	0.5773	0	0.0000	NA		10272			

KOTHARI PHYTOCHEMICALS & INDUSTRIES LTD.

Table Iv - Statement Showing shareholding pattern of the Non Promoter - Non Public shareholder as on 30/09/2019

Category & Name of the Shareholders (I)	PAN (II)	No of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			Total Shareholding as a % assuming full conversion of securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights	Class x	Class y	Total	No of shares Underlying outstanding convertible securities (including Warrants) (X)	Total as a % of Total Voting rights	As a % of total shares held (b)	No. (Not held applic able) (a)	As a % of total shares held in dematerialized form (XIV)
(1)	Custodian / DR Holder															
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)															
	Total Non Promoter - Non Public Shareholding (C)=(C1)+(C2)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA
																0



KOTHARI PHYTOCHEMICALS & INDUSTRIES LTD.											
Annexure											
Table V - Statement showing details of significant beneficial owners as on 30/09/2019											
Details of the (SBO)			Details of the registered owner			Details of holding / exercise of right of the SBO in the reporting company, whether direct or indirect:				Date of creation / acquisition of significant beneficial interest (IV)	
(I)			(II)			Whether by virtue of: (III)					
Sr No	Name	PAN / Passport No. in case of a foreign national	Nationality	Name	PAN / Passport No. in case of a foreign national	Nationality	Shares (%)	Voting rights (%)	Rights on distributable dividend or any other distribution (%)	Exercise of control	Exercise of significant influence
1				COMMERCIAL HOUSE PRIVATE LTD. KOTHARI INVESTMENT & INDUSTRIES PRIVATE LIMITED	AABCC2315G		755414	19.4535			
2				KOTHARI & COMPANY PRIVATE LIMITED	AACCK1560A		750720	19.3326			
3				M.D. KOTHARI & COMPANY LTD.	AABCK1246Q		594960	15.3215			
4				VISHNUHARI INVESTMENTS & PROPERTIES LTD	AADCM5835F		576525	14.8467			
5					AABCV0010D		491568	12.6589			
Total							3169187	81.6133			



KOTHARI PHYTOCHEMICALS & INDUSTRIES LTD.

Distribution Schedule as on 30/09/2019

Share Holding	No of Holders	% age	No of Shares	% age
Upto 50	1301	96.4418	4397	0.1132
51 to 100	19	1.4085	1661	0.0428
101 to 150	5	0.3706	606	0.0156
151 to 250	2	0.1483	320	0.0082
251 to 500	3	0.2224	1200	0.0309
501 to 5000	5	0.3706	8941	0.2302
Above 5000	14	1.0378	3866050	99.5590
Grand Total	1349	100.0000	3883175	100.0000

Distribution Schedule as on 30/09/2019

Share Holding	No of Holders	% age	No of Shares	% age
Upto 500	1330	98.5915	8184	0.2108
501 to 1000	2	0.1483	1800	0.0464
1001 to 2000	1	0.0741	1600	0.0412
2001 to 3000	1	0.0741	2021	0.0520
3001 to 4000	1	0.0741	3520	0.0906
5001 to 10000	3	0.2224	22814	0.5875
Above 10000	11	0.8154	3843236	98.9715
Grand Total	1349	100.0000	3883175	100.0000

Distribution Schedule as on 30/09/2019

Notional Value of (Rs.)	No of Holders	% age	Amount (Rs.)	% age
Upto 5000	1330	98.5915	81840	0.2108
5001 to 10000	2	0.1483	18000	0.0464
10001 to 20000	1	0.0741	16000	0.0412
20001 to 30000	1	0.0741	20210	0.0520
30001 to 40000	1	0.0741	35200	0.0906
50001 to 100000	3	0.2224	228140	0.5875
Above 100000	11	0.8154	38432360	98.9715
Grand Total	1349	100.0000	38831750	100.0000



KOTHARI PHYTOCHEMICALS & INDUSTRIES LTD.				
Shareholding Summary as on 30/09/2019				
	As per Folio/Client Id		As per PAN	
Form	No of Records	No of Shares	No of Records	No of Shares
PHYSICAL	1176	12146	1171	12146
NSDL	149	3870798	144	3870798
CDSL	45	231	40	231
TOTAL	1370	3883175	1355	3883175

