

Format of holding of specified securities

1. Name of Listed Entity: KOTHARI PHYTOCHEMICALS & INDUSTRIES LTD. (CIN:U15491WB1897PLC001365
2. Scrip Code - Name of Scrip -, - Class of Security Equity
3. Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)
 - a. If under 31 (1)(b) then indicate the report for Quarter ending: 31/03/2021
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. **Declaration** : The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in Locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the column for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-



Table I - Summary Statement holding of specified securities as on 31/03/2021

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
								Class eg:x	Class eg:y	Total								
(A)	Promoter & Promoter group	10	3860757	0	0	3860757	99.4227	3860757	0	3860757	99.4227	0	99.4227	0	0.0000	0	0.0000	3860757
(B)	Public	1227	22418	0	0	22418	0.5773	22418	0	22418	0.5773	0	0.5773	0	0.0000	NA		14403
(C)	Non Promoter - Non Public															NA		
(C1)	Shares underlying DRs						NA									NA		
(C2)	Shares held by Employee Trusts															NA		
	Total	1237	3883175	0	0	3883175	100.0000	3883175	0	3883175	100.0000	0	100.0000	0				3875160



KOTHARI PHYTOCHEMICALS & INDUSTRIES LTD.

Table II - Statement Showing shareholding pattern of the Promoter and Promoter Group as on 31/03/2021

(1)	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights (X)	No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									Class x	Class y	Total				No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)		
(1)	Indian																			
(a)	Individuals/Hindu undivided Family		4	40861	0	0	40861	1.0523	40861	0	40861	1.0523	0	1.0523	0	0.0000	0	0.0000	40861	
	PARAMSUKHDAS SUGANCHAND HUF	AAFHA2890H	1	19040	0	0	19040	0.4903	19040	0	19040	0.4903	0	0.4903	0	0.0000	0	0.0000	19040	
	ANAND VARDHAN KOTHARI	ARZPK7312D	1	13300	0	0	13300	0.3425	13300	0	13300	0.3425	0	0.3425	0	0.0000	0	0.0000	13300	
	PRABHAWATI DEVI KOTHARI	AFLPK3786R	1	6500	0	0	6500	0.1674	6500	0	6500	0.1674	0	0.1674	0	0.0000	0	0.0000	6500	
	ARUN KUMAR KOTHARI	AFLPK3831P	1	2021	0	0	2021	0.0520	2021	0	2021	0.0520	0	0.0520	0	0.0000	0	0.0000	2021	
(b)	Central Government/State Government(s)												0	0.0000	0	0.0000	0	0.0000	0	
(c)	Financial Institutions/Banks												0	0.0000	0	0.0000	0	0.0000	0	
(d)	Any Other (specify)												0	0.0000	0	0.0000	0	0.0000	0	
	Bodies Corporate		6	3819896	0	0	3819896	98.3704	3819896	0	3819896	98.3704	0	98.3704	0	0.0000	0	0.0000	3819896	
	KOTHARI INVESTMENT AND INDUSTRIES PRIVATE LIMITED	AACCK1560A	1	2828080	0	0	2828080	72.8291	2828080	0	2828080	72.8291	0	72.8291	0	0.0000	0	0.0000	2828080	
	M.D. KOTHARI & COMPANY LTD.	AADCM5835F	1	576525	0	0	576525	14.8467	576525	0	576525	14.8467	0	14.8467	0	0.0000	0	0.0000	576525	
	G. DAS & COMPANY PRIVATE LIMITED	AABCG2416L	1	216291	0	0	216291	5.5700	216291	0	216291	5.5700	0	5.5700	0	0.0000	0	0.0000	216291	
	ALBERT DAVID LTD	AACCA3933D	1	190000	0	0	190000	4.8929	190000	0	190000	4.8929	0	4.8929	0	0.0000	0	0.0000	190000	
	PREMIER SUPPLIERS PRIVATE LIMITED	AACCP9823B	1	8000	0	0	8000	0.2060	8000	0	8000	0.2060	0	0.2060	0	0.0000	0	0.0000	8000	
	SATYAM FINANCIAL SERVICES LTD	AALCS3758B	1	1000	0	0	1000	0.0258	1000	0	1000	0.0258	0	0.0258	0	0.0000	0	0.0000	1000	
	Sub Total		10	3860757	0	0	3860757	99.4227	3860757	0	3860757	99.4227	0	99.4227	0	0.0000	0	0.0000	3860757	
(2)	Foreign																			
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)												0	0.0000	0	0.0000	0	0.0000	0	
(b)	Government												0	0.0000	0	0.0000	0	0.0000	0	
(c)	Institutions												0	0.0000	0	0.0000	0	0.0000	0	
(d)	Foreign Portfolio Investor												0	0.0000	0	0.0000	0	0.0000	0	
(e)	Any Other (specify)												0	0.0000	0	0.0000	0	0.0000	0	
	Sub Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		10	3860757	0	0	3860757	99.4227	3860757	0	3860757	99.4227	0	99.4227	0	0.0000	0	0.0000	3860757	



Table III - Statement Showing shareholding pattern of the Public shareholder as on 31/03/2021

(1)	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									Class x	Class y	Total			Total as a % of Total Voting rights	No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
(a)	Mutual Funds / UTI																		
(b)	Venture Capital Funds																		
(c)	Alternate Investment Funds																		
(d)	Foreign Venture Capital Investors																		
(e)	Foreign Portfolio Investors																		
(f)	Financial Institutions / Banks																		
(g)	Insurance Companies																		
(h)	Provident Funds / Pension Funds																		
(i)	Any Other (specify)																		
	Sub Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA		0
(2)	Central Government / State Government(s) / President of India																		
(3)	Non-Institutions																		
(a)	Individuals-																		
	i. Individual shareholders holding nominal share capital upto Rs.2 lakhs		1215	9808	0	0	9808	0.2526	9808	0	9808	0.2526	0	0.2526	0	0.0000	NA		1909
	ii. Individual shareholders holding nominal share capital in excess of Rs.2 lakhs																		
(b)	NBFCs registered with RBI																		
(c)	Employee Trusts																		
(d)	Overseas Depositories (holding DRs) (balancing figure)																		
(e)	Any Other (specify)																		
	Investor Education and Protection Fund Authority		1	12493	0	0	12493	0.3217	12493	0	12493	0.3217	0	0.3217	0	0.0000	NA		12493
	Bodies Corporate		10	116	0	0	116	0.0030	116	0	116	0.0030	0	0.0030	0	0.0000	NA		0
	Trusts		1	1	0	0	1	0.0000	1	0	1	0.0000	0	0.0000	0	0.0000	NA		1

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Table III - Statement Showing shareholding pattern of the Public shareholder as on 31/03/2021

Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								Class x	Class y	Total			Total as a % of Total Voting rights	No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)		As a % of total shares held (b)
						0	22418	0.5773	22418	0	22418	0.5773	0	0.5773	0	0.0000	NA	14403
Sub Total		1227	22418	0	0	22418	0.5773	22418	0	22418	0.5773	0	0.5773	0	0.0000	NA	14403	
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		1227	22418	0	0	22418	0.5773	22418	0	22418	0.5773	0	0.5773	0	0.0000	NA	14403	



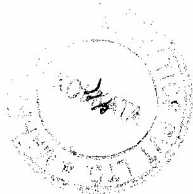
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Table IV - Statement Showing shareholding pattern of the Non Promoter - Non Public shareholder as on 31/03/2021

Category & Name of the Shareholders (I)	PAN (II)	No of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV) + (V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					As a % of total shares (Not applicable) (a)	As a % of total shares held (b)	As a % of total shares held (Not applicable) (a)	As a % of total shares held (Not applicable) (b)		
								Class x	Class y	Total								No. (a)
(1) Custodian / DR Holder																		
(2) Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																		
Total Non Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0



KOTHARI PHYTOCHEMICALS & INDUSTRIES LTD.					
Distribution Schedule as on 31/03/2021					
Share Holding	No of Holders	% age	No of Shares	% age	
Upto 50	1198	96.8472	3988	0.1027	
51 to 100	17	1.3743	1537	0.0396	
101 to 150	4	0.3234	480	0.0124	
151 to 250	2	0.1617	320	0.0082	
251 to 500	3	0.2425	1200	0.0309	
501 to 5000	4	0.3234	5421	0.1396	
Above 5000	9	0.7276	3870229	99.6666	
Grand Total	1237	100.0000	3883175	100.0000	
Distribution Schedule as on 31/03/2021					
Share Holding	No of Holders	% age	No of Shares	% age	
Upto 500	1224	98.9491	7525	0.1938	
501 to 1000	2	0.1617	1800	0.0464	
1001 to 2000	1	0.0808	1600	0.0412	
2001 to 3000	1	0.0808	2021	0.0520	
5001 to 10000	2	0.1617	14500	0.3734	
Above 10000	7	0.5659	3855729	99.2932	
Grand Total	1237	100.0000	3883175	100.0000	
Distribution Schedule as on 31/03/2021					
Notional Value of (Rs.)	No of Holders	% age	Amount (Rs.)	% age	
Upto 5000	1224	98.9491	75250	0.1938	
5001 to 10000	2	0.1617	18000	0.0464	
10001 to 20000	1	0.0808	16000	0.0412	
20001 to 30000	1	0.0808	20210	0.0520	
50001 to 100000	2	0.1617	145000	0.3734	
Above 100000	7	0.5659	38557290	99.2932	
Grand Total	1237	100.0000	38831750	100.0000	



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Shareholding Summary as on 31/03/2021

Form	As per Folio/Client Id		As per PAN	
	No of Records	No of Shares	No of Records	No of Shares
PHYSICAL	1063	8015	1061	8015
NSDL	140	3874759	136	3874759
CDSL	50	401	45	401
TOTAL	1253	3883175	1242	3883175

