

**Format of holding of specified securities**

1. Name of Listed Entity: KOTHARI PHYTOCHEMICALS & INDUSTRIES LTD. (CIN:U15491WB1897PLC001365

2. Scrip Code - Name of Scrip -, Class of Security Equity

3. Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c )

a. If under 31 (1)(b) then indicate the report for Quarter ending: 30/06/2021

b. If under 31(1)(c ) then indicate date of allotment/extinguishment

4. **Declaration** : The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in Locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

\* If the Listed Entity selects the option 'No' for the questions above, the column for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-



Table I - Summary Statement holding of specified securities as on 30/06/2021

Table 1 - Summary Statement holding of specified securities as on 30/06/2021																		
Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
								Class eg: x	Class eg: y	Total								
(A)	Promoter & Promoter group	10	3860757	0	0	3860757	99.4227	3860757	0	3860757	99.4227	0	99.4227	0	0.0000	0	0.0000	3860757
(B)	Public	1227	22418	0	0	22418	0.5773	22418	0	22418	0.5773	0	0.5773	0	0.0000	NA		14403
(C)	Non Promoter - Non Public															NA		
(C1)	Shares underlying DRs						NA									NA		
(C2)	Share held by Employee Trusts															NA		
	Total	1237	3883175	0	0	3883175	100.0000	3883175	0	3883175	100.0000	0	100.0000	0				3875160





## KOTHARI PHYTOCHEMICALS &amp; INDUSTRIES LTD.

Table II - Statement Showing shareholding pattern of the Promoter and Promoter Group as on 30/06/2021

(1)	Category & Name of the Shareholders (I)	PAN	(II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
										No of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
										Class x	Class y	Total								
(a)	Indian																			
	Individuals/Hindu undivided Family			4	40861	0	0	40861	1.0523	40861	0	40861	1.0523	0	1.0523	0	0.0000	0	0.0000	40861
	PARAMSUKHDAS SUGANCHAND HUF	AAFHA2890H		1	19040	0	0	19040	0.4903	19040	0	19040	0.4903	0	0.4903	0	0.0000	0	0.0000	19040
	ANAND VARDHAN KOTHARI	ARZPK7312D		1	13300	0	0	13300	0.3425	13300	0	13300	0.3425	0	0.3425	0	0.0000	0	0.0000	13300
	PRABHAWATI DEVI KOTHARI	AFLPK3786R		1	6500	0	0	6500	0.1674	6500	0	6500	0.1674	0	0.1674	0	0.0000	0	0.0000	6500
	ARUN KUMAR KOTHARI	AFLPK3831P		1	2021	0	0	2021	0.0520	2021	0	2021	0.0520	0	0.0520	0	0.0000	0	0.0000	2021
(b)	Central Government/State Government(s)												0	0.0000	0	0.0000	0	0.0000	0	
(c)	Financial Institutions/Banks												0	0.0000	0	0.0000	0	0.0000	0	
(d)	Any Other (specify)												0	0.0000	0	0.0000	0	0.0000	0	
	Bodies Corporate			6	3819896	0	0	3819896	98.3704	3819896	0	3819896	98.3704	0	98.3704	0	0.0000	0	0.0000	3819896
	KOTHARI INVESTMENT AND INDUSTRIES PRIVATE LIMITED	AACCK1560A		1	2828080	0	0	2828080	72.8291	2828080	0	2828080	72.8291	0	72.8291	0	0.0000	0	0.0000	2828080
	M.D. KOTHARI & COMPANY LTD.	AADCM5835F		1	576525	0	0	576525	14.8467	576525	0	576525	14.8467	0	14.8467	0	0.0000	0	0.0000	576525
	G. DAS & COMPANY PRIVATE LIMITED	AABCG2416L		1	216291	0	0	216291	5.5700	216291	0	216291	5.5700	0	5.5700	0	0.0000	0	0.0000	216291
	ALBERT DAVID LTD	AACCA3933D		1	190000	0	0	190000	4.8929	190000	0	190000	4.8929	0	4.8929	0	0.0000	0	0.0000	190000
	PREMIER SUPPLIERS PRIVATE LIMITED	AACCP9823B		1	8000	0	0	8000	0.2060	8000	0	8000	0.2060	0	0.2060	0	0.0000	0	0.0000	8000
	SATYAM FINANCIAL SERVICES LTD.	AALCS3758B		1	1000	0	0	1000	0.0258	1000	0	1000	0.0258	0	0.0258	0	0.0000	0	0.0000	1000
	Sub Total			10	3860757	0	0	3860757	99.4227	3860757	0	3860757	99.4227	0	99.4227	0	0.0000	0	0.0000	3860757
(2)	Foreign																			
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)												0	0.0000	0	0.0000	0	0.0000	0	
(b)	Government												0	0.0000	0	0.0000	0	0.0000	0	
(c)	Institutions												0	0.0000	0	0.0000	0	0.0000	0	
(d)	Foreign Portfolio Investor												0	0.0000	0	0.0000	0	0.0000	0	
(e)	Any Other (specify)												0	0.0000	0	0.0000	0	0.0000	0	
	Sub Total			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)			10	3860757	0	0	3860757	99.4227	3860757	0	3860757	99.4227	0	99.4227	0	0.0000	0	0.0000	3860757

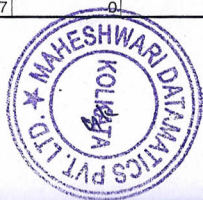




Table III - Statement Showing shareholding pattern of the Public shareholder as on 30/06/2021

Statement Showing Shareholding Pattern of the Company																					
	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)			
									No of Voting Rights					Total as a % of Total Voting rights			No. (a)		As a % of total shares held (b)	No. (Not applicable) (a)	As a % of total shares held (Not applicable) (b)
									Class x	Class y	Total										
(1)	Institutions																				
(a)	Mutual Funds / UTI																				
(b)	Venture Capital Funds																				
(c)	Alternate Investment Funds																				
(d)	Foreign Venture Capital Investors																				
(e)	Foreign Portfolio Investors																				
(f)	Financial Institutions / Banks																				
(g)	Insurance Companies																				
(h)	Provident Funds / Pension Funds																				
(i)	Any Other (specify)																				
	Sub Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	0			
(2)	Central Government / State Government(s) / President of India																				
(3)	Non-Institutions																				
(a)	Individuals-																				
	i. Individual shareholders holding nominal share capital upto Rs.2 lakhs		1215	9808	0	0	9808	0.2526	9808	0	9808	0.2526	0	0.2526	0	0.0000	NA	1909			
	ii. Individual shareholders holding nominal share capital in excess of Rs.2 lakhs																				
(b)	NBFCs registered with RBI																				
(c)	Employee Trusts																				
(d)	Overseas Depositories (holding DRs) (balancing figure)																				
(e)	Any Other (specify)																				
	Investor Education and Protection Fund Authority		1	12493	0	0	12493	0.3217	12493	0	12493	0.3217	0	0.3217	0	0.0000	NA	12493			
	Bodies Corporate		10	116	0	0	116	0.0030	116	0	116	0.0030	0	0.0030	0	0.0000	NA	0			
	Trusts		1	1	0	0	1	0.0000	1	0	1	0.0000	0	0.0000	0	0.0000	NA	1			





Table III - Statement Showing shareholding pattern of the Public shareholder as on 30/06/2021

Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)			Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights					No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)	As a % of total shares held (Not applicable) (b)		
								Class x	Class y	Total								
Sub Total		1227	22418	0	0	22418	0.5773	22418	0	22418	0.5773	0	0.5773	0	0.0000	NA		14403
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		1227	22418	0	0	22418	0.5773	22418	0	22418	0.5773	0	0.5773	0	0.0000	NA		14403



Table IV - Statement Showing shareholding pattern of the Non Promoter - Non Public shareholder as on 30/06/2021

	Category & Name of the Shareholders (I)	PAN (II)	No of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV) + (V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No of shares Underlying outstanding convertible securities (including Warrants) (X)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
									No of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (Not applicable) (b)	
									Class x	Class y	Total								
(1)	Custodian / DR Holder																		
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																		
	Total Non Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0





KOTHARI PHYTOCHEMICALS & INDUSTRIES LTD.												
Annexure												
Table V - Statement showing details of significant beneficial owners as on 30/06/2021												
	Details of the (SBO)			Details of the registered owner			Details of holding / exercise of right of the SBO in the reporting company, whether direct or indirect:					Date of creation / acquisition of significant beneficial interest
	(I)			(II)			Whether by virtue of: (III)					(IV)
Sr No	Name	PAN / Passport No. in case of a foreign national	Nationality	Name	PAN / Passport No. in case of a foreign national	Nationality	Shares (%)	Voting rights (%)	Rights on distributable dividend or any other distribution (%)	Exercise of control	Exercise of significant influence	



KOTHARI PHYTOCHEMICALS & INDUSTRIES LTD.				
Shareholding Summary as on 30/06/2021				
Form	As per Folio/Client Id		As per PAN	
	No of Records	No of Shares	No of Records	No of Shares
PHYSICAL	1063	8015	1061	8015
NSDL	139	3874758	135	3874758
CDSL	51	402	46	402
<b>TOTAL</b>	<b>1253</b>	<b>3883175</b>	<b>1242</b>	<b>3883175</b>

