

**Format of holding of specified securities**

1. Name of Listed Entity: KOTHARI PHYTOCHEMICALS & INDUSTRIES LTD. (CIN:U15491WB1897PLC001365)

2. Scrip Code - Name of Scrip -, Class of Security Equity

3. Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c )

a. If under 31 (1)(b) then indicate the report for Quarter ending: 31/12/2021

b. If under 31(1)(c ) then indicate date of allotment/extinguishment

4. **Declaration** : The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3 Whether the Listed Entity has any shares against which depository receipts are issued?		No
4 Whether the Listed Entity has any shares in Locked-in?		No
5 Whether any shares held by promoters are pledge or otherwise encumbered?		No

\* If the Listed Entity selects the option 'No' for the questions above, the column for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-

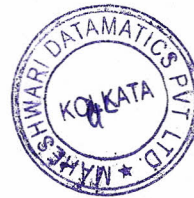


Table I - Summary Statement holding of specified securities as on 31/12/2021

Table 1 - Summary Statement holding of specified securities as on 31/12/2021																		
Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
								Class eg: x	Class eg: y	Total								
(A)	Promoter & Promoter group	10	3860857	0	0	3860857	99.4253	3860857	0	3860857	99.4253	0	99.4253	0	0.0000	0	0.0000	3860857
(B)	Public	1149	22318	0	0	22318	0.5747	22318	0	22318	0.5747	0	0.5747	0	0.0000	NA		14581
(C)	Non Promoter - Non Public															NA		
(C1)	Shares underlying DRs						NA									NA		
(C2)	Shares held by Employee Trusts															NA		
	Total	1159	3883175	0	0	3883175	100.0000	3883175	0	3883175	100.0000	0	100.0000	0				3875438





(1)	Category & Name of the Shareholders (I)	Entity type i.e. promoter OR promoter group entity (except promoter)	PAN	(II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Sharehold ing % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No of shares Underlying outstanding convertible securities (as a percentage of diluted share capital) (X)	Sharehold ing as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
											No of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
											Class x	Class y	Total								
(1)	Indian																				
(a)	Individuals/Hindu undivided Family				4	40961	0	0	40961	1.0548	40961	0	40961	1.0548	0	1.0548	0	0.0000	0	0.0000	40961
	PARAMSUKHDAS SUGANCHAND HUF	Promoter	AAFHA2890H		1	19040	0	0	19040	0.4903	19040	0	19040	0.4903	0	0.4903	0	0.0000	0	0.0000	19040
	ANAND VARDHAN KOTHARI	Promoter	ARZPK7312D		1	13300	0	0	13300	0.3425	13300	0	13300	0.3425	0	0.3425	0	0.0000	0	0.0000	13300
	PRABHAWATI DEVI KOTHARI	Promoter	AFLPK3786R		1	6500	0	0	6500	0.1674	6500	0	6500	0.1674	0	0.1674	0	0.0000	0	0.0000	6500
	ARUN KUMAR KOTHARI	Promoter	AFLPK3831P		1	2121	0	0	2121	0.0546	2121	0	2121	0.0546	0	0.0546	0	0.0000	0	0.0000	2121
(b)	Central Government/State Government(s)														0	0.0000	0	0.0000	0	0.0000	0
(c)	Financial Institutions/Banks														0	0.0000	0	0.0000	0	0.0000	0
(d)	Any Other (specify)														0	0.0000	0	0.0000	0	0.0000	0
	Bodies Corporate				6	3819896	0	0	3819896	98.3704	3819896	0	3819896	98.3704	0	98.3704	0	0.0000	0	0.0000	3819896
	KOTHARI INVESTMENT AND INDUSTRIES PRIVATE LIMITED	Promoter	AACCK1560A		1	2828080	0	0	2828080	72.8291	2828080	0	2828080	72.8291	0	72.8291	0	0.0000	0	0.0000	2828080
	M.D. KOTHARI & COMPANY LTD.	Promoter	AADCM5835F		1	576525	0	0	576525	14.8467	576525	0	576525	14.8467	0	14.8467	0	0.0000	0	0.0000	576525
	G. DAS & COMPANY PRIVATE LIMITED	Promoter	AABCG2416L		1	216291	0	0	216291	5.5700	216291	0	216291	5.5700	0	5.5700	0	0.0000	0	0.0000	216291
	ALBERT DAVID LTD	Promoter	AACCA3933D		1	190000	0	0	190000	4.8929	190000	0	190000	4.8929	0	4.8929	0	0.0000	0	0.0000	190000
	PREMIER SUPPLIERS PRIVATE LIMITED	Promoter	AACCP9823B		1	8000	0	0	8000	0.2060	8000	0	8000	0.2060	0	0.2060	0	0.0000	0	0.0000	8000
	SATYAM FINANCIAL SERVICES LTD.	Promoter	AALCS3758B		1	1000	0	0	1000	0.0258	1000	0	1000	0.0258	0	0.0258	0	0.0000	0	0.0000	1000
	Sub Total				10	3860857	0	0	3860857	99.4253	3860857	0	3860857	99.4253	0	99.4253	0	0.0000	0	0.0000	3860857
(2)	Foreign																				
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)														0	0.0000	0	0.0000	0	0.0000	0
(b)	Government														0	0.0000	0	0.0000	0	0.0000	0
(c)	Institutions														0	0.0000	0	0.0000	0	0.0000	0
(d)	Foreign Portfolio Investor														0	0.0000	0	0.0000	0	0.0000	0
(e)	Any Other (specify)														0	0.0000	0	0.0000	0	0.0000	0
	Sub Total				0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				10	3860857	0	0	3860857	99.4253	3860857	0	3860857	99.4253	0	99.4253	0	0.0000	0	0.0000	3860857





Table III - Statement Showing shareholding pattern of the Public shareholder as on 2/2021

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (as a percentage of diluted share capital) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class x	Class y	Total								
(1)	Institutions																		
(a)	Mutual Funds / UTI																		
(b)	Venture Capital Funds																		
(c)	Alternate Investment Funds																		
(d)	Foreign Venture Capital Investors																		
(e)	Foreign Portfolio Investors																		
(f)	Financial Institutions / Banks																		
(g)	Insurance Companies																		
(h)	Provident Funds / Pension Funds																		
(i)	Any Other (specify)																		
	Sub Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	0	
(2)	Central Government / State Government(s) / President of India																		
(3)	Non-Institutions																		
(a)	Individuals-																		
	i. Individual shareholders holding nominal share capital upto Rs.2 lakhs		1133	9422	0	0	9422	0.2426	9422	0	9422	0.2426	0	0.2426	0	0.0000	NA	1801	
	ii. Individual shareholders holding nominal share capital in excess of Rs.2 lakhs																		
(b)	NBFCs registered with RBI																		
(c)	Employee Trusts																		
(d)	Overseas Depositories (holding DRs) (balancing figure)																		
(e)	Any Other (specify)																		
	Investor Education and Protection Fund Authority		1	12772	0	0	12772	0.3289	12772	0	12772	0.3289	0	0.3289	0	0.0000	NA	12772	
	Bodies Corporate		10	116	0	0	116	0.0030	116	0	116	0.0030	0	0.0030	0	0.0000	NA	0	
	Resident Individual (HUF)		4	7	0	0	7	0.0002	7	0	7	0.0002	0	0.0002	0	0.0000	NA	7	



Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No of shares Underlying outstanding convertible securities (as a percentage of diluted share capital) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)	As a % of total shares held (Not applicable) (b)	
								Class x	Class y	Total								
Trusts		1	1	0	0	1	0.0000	1	0	1	0.0000	0	0.0000	0	0.0000	NA		1
Sub Total		1149	22318	0	0	22318	0.5747	22318	0	22318	0.5747	0	0.5747	0	0.0000	NA		14581
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		1149	22318	0	0	22318	0.5747	22318	0	22318	0.5747	0	0.5747	0	0.0000	NA		14581



	Category & Name of the Shareholders (I)	PAN (II)	No of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV) + (V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No of shares Underlying outstanding convertible securities (including Warrants) (X)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
									No of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
									Class x	Class y	Total								
(1)	Custodian / DR Holder																		
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																		
	Total Non Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0





Table V - Statement showing details of significant beneficial owners as on 31/12/2021

Details of the (SBO)				Details of the registered owner			Details of holding / exercise of right of the SBO in the reporting company, whether direct or indirect:					Date of creation / acquisition of significant beneficial interest
(I)				(II)			Whether by virtue of: (III)					(IV)
Sr No	Name	PAN / Passport No. in case of a foreign national	Nationality	Name	PAN / Passport No. in case of a foreign national	Nationality	Shares (%)	Voting rights (%)	Rights on distributable dividend or any other distribution (%)	Exercise of control	Exercise of significant influence	



KOTHARI PHYTOCHEMICALS & INDUSTRIES LTD.				
Shareholding Summary as on 31/12/2021				
	As per Folio/Client Id		As per PAN	
Form	No of Records	No of Shares	No of Records	No of Shares
PHYSICAL	988	7737	986	7737
NSDL	138	3875040	134	3875040
CDSL	49	398	44	398
<b>TOTAL</b>	<b>1175</b>	<b>3883175</b>	<b>1164</b>	<b>3883175</b>

