

Format of holding of specified securities

1. Name of Listed Entity: KOTHARI PHYTOCHEMICALS & INDUSTRIES LTD. (CIN:U15491WB1897PLC00136)

2. Scrip Code - Name of Scrip -, Class of Security Equity

3. Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)

a. If under 31 (1)(b) then indicate the report for Quarter ending: 30/09/2022

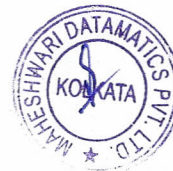
b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. **Declaration** : The Listed entity is required to submit the following declaration to the extent of submission of information:-

| | Particulars | Yes* | No* |
|---|--|------|-----|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities or Warrants? | | No |
| 3 | Whether the Listed Entity has any shares against which depository receipts are issued? | | No |
| 4 | Whether the Listed Entity has any shares in Locked-in? | | No |
| 5 | Whether any shares held by promoters are pledge or otherwise encumbered? | | No |
| 6 | Whether company has equity shares with differential voting rights? | | |
| 7 | Whether the Listed Entity has any significant beneficial owner? | | |

* If the Listed Entity selects the option 'No' for the questions above, the column for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-



KOTHARI PHYTOCHEMICALS & INDUSTRIES LTD.

Table I - Summary Statement holding of specified securities as on 30/09/2022

| Category (I) | Category of shareholder (II) | Nos. of shareholders (III) | No. of fully paid up equity shares held (IV) | No. of Partly paid-up equity shares held (V) | No. of shares underlying Depository Receipts (VI) | Total nos. shares held (VII)=(IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII) | Number of Voting Rights held in each class of securities (IX) | | | | No of shares Underlying outstanding convertible securities (including Warrants) (X) | Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
|--------------|--------------------------------|----------------------------|--|--|---|--|---|---|------------|---------|-------------------------|---|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| | | | | | | | | No of Voting Rights | | | Total as a % of (A+B+C) | | | No. (a) | As a % of total shares held (b) | No. (a) | As a % of total shares held (b) | |
| | | | | | | | | Class eg:x | Class eg:y | Total | | | | | | | | |
| (A) | Promoter & Promoter group | 10 | 3860857 | 0 | 0 | 3860857 | 99.4253 | 3860857 | 0 | 3860857 | 99.4253 | 0 | 99.4253 | 0 | 0.0000 | 0 | 0.0000 | 3860857 |
| (B) | Public | 1146 | 22318 | 0 | 0 | 22318 | 0.5747 | 22318 | 0 | 22318 | 0.5747 | 0 | 0.5747 | 0 | 0.0000 | NA | | 14635 |
| (C) | Non Promoter - Non Public | | | | | | | | | | | | | | | NA | | |
| (C1) | Shares underlying DRs | | | | | | NA | | | | | | | | | NA | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | | | | | | NA | | |
| | Total | 1156 | 3883175 | 0 | 0 | 3883175 | 100.0000 | 3883175 | 0 | 3883175 | 100.0000 | 0 | 100.0000 | 0 | | | | 3875492 |

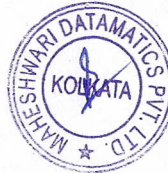


Table II - Statement Showing shareholding pattern of the Promoter and Promoter Group as on 30/09/2022

| (1) | Category & Name of the Shareholders (I) | Entity type i.e. promoter OR promoter group entity (except promoter) | PAN | (II) | Nos. of shareholders (III) | No. of fully paid up equity shares held (IV) | Partly paid-up equity shares held (V) | Nos. of shares underlying Depository Receipts (VI) | Total nos. shares held (VII)=(IV)+(V)+(VI) | Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII) | Number of Voting Rights held in each class of securities (IX) | | | No of shares Underlying outstanding convertible securities (as a percentage of diluted share capital) (X) | Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2) | Number of Locked in shares (XII) | | Number of shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) | |
|-----|---|--|------------|------|----------------------------|--|---------------------------------------|--|--|--|---|---------|---------|---|--|----------------------------------|---------------------------------|---|---------------------------------|---|---------------------|
| | | | | | | | | | | | Class x | Class y | Total | | | No. (a) | As a % of total shares held (b) | No. (a) | As a % of total shares held (b) | | |
| | | | | | | | | | | | | | | | | | | | | | No of Voting Rights |
| (1) | Indian | | | | | | | | | | | | | | | | | | | | |
| (a) | Individuals/Hindu undivided Family | | | | 4 | 40961 | 0 | 0 | 40961 | 1.0548 | 40961 | 0 | 40961 | 1.0548 | 0 | 1.0548 | 0 | 0.0000 | 0 | 0.0000 | 40961 |
| | PARAMSUKHDAS SUGANCHAND HUF | Promoter | AAFHA2890H | | 1 | 19040 | 0 | 0 | 19040 | 0.4903 | 19040 | 0 | 19040 | 0.4903 | 0 | 0.4903 | 0 | 0.0000 | 0 | 0.0000 | 19040 |
| | ANAND VARDHAN KOTHARI | Promoter | ARZPK7312D | | 1 | 13300 | 0 | 0 | 13300 | 0.3425 | 13300 | 0 | 13300 | 0.3425 | 0 | 0.3425 | 0 | 0.0000 | 0 | 0.0000 | 13300 |
| | PRABHAWATI DEVI KOTHARI | Promoter | AFLPK3786R | | 1 | 6500 | 0 | 0 | 6500 | 0.1674 | 6500 | 0 | 6500 | 0.1674 | 0 | 0.1674 | 0 | 0.0000 | 0 | 0.0000 | 6500 |
| | ARUN KUMAR KOTHARI | Promoter | AFLPK3831P | | 1 | 2121 | 0 | 0 | 2121 | 0.0546 | 2121 | 0 | 2121 | 0.0546 | 0 | 0.0546 | 0 | 0.0000 | 0 | 0.0000 | 2121 |
| (b) | Central Government/State Government(s) | | | | | | | | | | | | | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | |
| (c) | Financial Institutions/Banks | | | | | | | | | | | | | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | |
| (d) | Any Other (specify) | | | | | | | | | | | | | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | |
| | Bodies Corporate | | | | 6 | 3819896 | 0 | 0 | 3819896 | 98.3704 | 3819896 | 0 | 3819896 | 98.3704 | 0 | 98.3704 | 0 | 0.0000 | 0 | 0.0000 | 3819896 |
| | KOTHARI INVESTMENT AND INDUSTRIES PRIVATE LIMITED | Promoter | AACCK1560A | | 1 | 2828080 | 0 | 0 | 2828080 | 72.8291 | 2828080 | 0 | 2828080 | 72.8291 | 0 | 72.8291 | 0 | 0.0000 | 0 | 0.0000 | 2828080 |
| | M.D. KOTHARI & COMPANY LTD. | Promoter | AADCM5835F | | 1 | 576525 | 0 | 0 | 576525 | 14.8467 | 576525 | 0 | 576525 | 14.8467 | 0 | 14.8467 | 0 | 0.0000 | 0 | 0.0000 | 576525 |
| | G. DAS & COMPANY PRIVATE LIMITED | Promoter | AABCG2416L | | 1 | 216291 | 0 | 0 | 216291 | 5.5700 | 216291 | 0 | 216291 | 5.5700 | 0 | 5.5700 | 0 | 0.0000 | 0 | 0.0000 | 216291 |
| | ALBERT DAVID LTD | Promoter | AACCA3933D | | 1 | 190000 | 0 | 0 | 190000 | 4.8929 | 190000 | 0 | 190000 | 4.8929 | 0 | 4.8929 | 0 | 0.0000 | 0 | 0.0000 | 190000 |
| | PREMIER SUPPLIERS PRIVATE LIMITED | Promoter | AACCP9823B | | 1 | 8000 | 0 | 0 | 8000 | 0.2060 | 8000 | 0 | 8000 | 0.2060 | 0 | 0.2060 | 0 | 0.0000 | 0 | 0.0000 | 8000 |
| | SATYAM FINANCIAL SERVICES LTD. | Promoter | AALCS3758B | | 1 | 1000 | 0 | 0 | 1000 | 0.0258 | 1000 | 0 | 1000 | 0.0258 | 0 | 0.0258 | 0 | 0.0000 | 0 | 0.0000 | 1000 |
| | Sub Total | | | | 10 | 3860857 | 0 | 0 | 3860857 | 99.4253 | 3860857 | 0 | 3860857 | 99.4253 | 0 | 99.4253 | 0 | 0.0000 | 0 | 0.0000 | 3860857 |
| (2) | Foreign | | | | | | | | | | | | | | | | | | | | |
| (a) | Individuals (Non-Resident Individuals/Foreign Individuals) | | | | | | | | | | | | | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | |
| (b) | Government | | | | | | | | | | | | | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | |
| (c) | Institutions | | | | | | | | | | | | | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | |
| (d) | Foreign Portfolio Investor | | | | | | | | | | | | | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | |
| (e) | Any Other (specify) | | | | | | | | | | | | | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | |
| | Sub Total | | | | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| | Total Shareholding of Promoter and Promoter Group (A)=(A1)+(A2) | | | | 10 | 3860857 | 0 | 0 | 3860857 | 99.4253 | 3860857 | 0 | 3860857 | 99.4253 | 0 | 99.4253 | 0 | 0.0000 | 0 | 0.0000 | 3860857 |

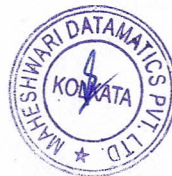


Table IV - Statement Showing shareholding pattern of the Non Promoter - Non Public shareholder as on 30/09/2022

| | Category & Name of the Shareholders (I) | PAN (II) | No of shareholders (III) | No. of fully paid up equity shares held (IV) | Partly paid-up equity shares held (V) | Nos. of shares underlying Depository Receipts (VI) | Total nos. shares held (VII)=(IV)+(V)+(VI) | Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII) | Number of Voting Rights held in each class of securities (IX) | | | No of shares Underlying outstanding convertible securities (including Warrants) (X) | Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2) | Number of Locked in shares (XII) | | Number of shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) | |
|-----|---|----------|--------------------------|--|---------------------------------------|--|--|--|---|---------|-------|---|--|----------------------------------|---------|---|--------------------------|---|---------------------------------|
| | | | | | | | | | No of Voting Rights | | | | | As a % of Total Voting rights | No. (a) | As a % of total shares held (b) | No. (Not applicable) (a) | | As a % of total shares held (b) |
| | | | | | | | | | Class x | Class y | Total | | | | | | | | |
| (1) | Custodian / DR Holder | | | | | | | | | | | | | | | | | | |
| (2) | Employee Benefit Trust/Employee Welfare Trust under SEBI (Share based Employee Benefits and Sweat Equity) Regulations, 2021 | | | | | | | | | | | | | | | | | | |
| | Total Non Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2) | | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | NA | NA | 0 |



| KOTHARI PHYTOCHEMICALS & INDUSTRIES LTD. | | | | |
|--|---------------|--------------------|----------------|-------------------|
| Shareholding Summary as on 30/09/2022 | | | | |
| As per Folio/Client Id | | | | |
| Form | No of Records | % to Total Records | No of Shares | % to Total Shares |
| PHYSICAL | 983 | 83.8022 | 7683 | 0.1979 |
| NSDL | 137 | 11.6795 | 3875065 | 99.7912 |
| CDSL | 53 | 4.5183 | 427 | 0.0110 |
| TOTAL | 1173 | 100.0000 | 3883175 | 100.0000 |

